



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329
(916) 322-5660 • Fax (916) 322-0886

July 20, 2009

George Montgomery
212 26th Street, #261
Santa Monica, CA 90402

✓ George Montgomery, Treasurer
o/b/o Friends of George Montgomery for Judge
212 26th Street, #261
Santa Monica, CA 90402

Re: Warning Letter - FPPC No. 06/638, George Montgomery; Friends of George Montgomery for Judge

Dear Mr. Montgomery and Friends of George Montgomery for Judge:

The Fair Political Practices Commission ("Commission") enforces the provisions of the Political Reform Act (the "Act")¹, found in California Government Code Section 81000 and following. The Commission received a complaint against you alleging violations of the Act.

The Act, in Section 84100, requires every committee to have a treasurer, and Regulation 18427 enumerates the duties of treasurers, which includes preparing and verifying all campaign statements filed on behalf of their committees. Thus, treasurers are responsible for all reportable campaign activity by their committees under the Act. Candidates, committees and their treasurers are required to file semi-annual campaign statements pursuant to Section 84200, and pre-election campaign statements at specified times pursuant to Sections 84200.5, and 84200.7.

Based on the evidence obtained during our investigation, the Enforcement Division has determined that you failed to timely file four pre-election campaign statements and two semi-annual campaign statements relating to the elections on June 6, 2006, and November 7, 2006.

We have nevertheless decided to close this case. Upon review of the evidence available, it appears that you voluntarily filed all of these statements before contact by the Enforcement Division, and none were filed more than 5 months late. Although you filed three of the pre-election statements after the respective elections, you filed one pre-

¹The Political Reform Act is contained in Government Code Sections 81000 through 91014. All statutory references are to the Government Code, unless otherwise indicated. The regulations of the Fair Political Practices Commission are contained in Sections 18110 through 18997 of Title 2 of the California Code of Regulations. All regulatory references are to Title 2, Division 6 of the California Code of Regulations, unless otherwise indicated.

election statement only one day after the due date, and two semi-annual statements only 24 days and one day after the due dates. Additionally, you have no prior history of enforcement action by the Commission.

This letter serves as a written warning. You are advised that your failure to comply with the provisions of the Political Reform Act in the future could result in an enforcement action. Additionally, the information in this case will be retained, and may be used against you should an enforcement action later become necessary based on future conduct and/or newly discovered information. Please be advised that failure to comply with the provisions of the Act in the future may result in monetary penalties of up to \$5,000 for each violation.

The FPPC publishes forms and manuals to facilitate compliance with the provisions of the Act. If you need forms or manuals, or guidance regarding your obligations, please call the FPPC's Technical Assistance Division at (916) 322-5660, Monday through Friday, between 9:00 a.m. and 4:00 p.m. Please also visit our website at www.fppc.ca.gov.

If you have any questions regarding this matter, please contact us at (916) 322-5660.

Sincerely,



Angela J. Brereton
Commission Counsel
Enforcement Division